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# Commentarii Mathematici Helvetici

# Approximating $\ell_2$ -Betti numbers of an amenable covering by ordinary Betti numbers

Beno Eckmann

**Abstract.** It is shown that the  $\ell_2$ -Betti numbers of an amenable covering of a finite cell-complex can be approximated by ordinary Betti numbers of the finite Følner subcomplexes. This is a new proof, using simple homological arguments, of a recent result of Dodziuk and Mathai.

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#### 0. Introduction

Let Y be an infinite amenable covering of a finite cell-complex X with covering transformation group G. Then the  $\ell_2$ -Betti numbers  $\overline{\beta_p}(Y)$  can be approximated by the average ordinary Betti numbers of the finite subcomplexes  $Y_j$  of a Følner exhaustion of Y. This has been proved by Dodziuk and Mathai [D-M]. The purpose of the present paper is to give a simple "homological" proof of that result. It consists in examining the  $\ell_2$ -homology map  $H_p(Y_j) \longrightarrow H_p(Y)$  induced by the inclusion  $Y_j \longrightarrow Y$ .

#### 1. Følner sequence

- 1.1. We consider a discrete infinite amenable group G and a free cocompact G-space Y. By this we mean a cell complex Y on which G operates freely by permutation of the cells, with finite orbit complex X = Y/G. Then Y is a covering of X with covering transformation group G. Since G is a factor group of the fundamental group of X, and X is a finite complex, G is necessarily finitely generated. In short Y is called an infinite amenable covering of X.
- **1.2.** It is known (Cheeger-Gromov [C-G], see also [E] or [D-M]) that in such a situation there exists in Y a Følner sequence (or Følner exhaustion)  $Y_j$ , j = 1, 2, 3, ...

Here is its description in the form we will need later.

For each closed p-cell  $\sigma_p$  in X we choose an arbitrary lift  $\hat{\sigma}_p$  in the corresponding G-orbit. The union of all  $\hat{\sigma}_p$ ,  $p \geq 0$ , together with its topological closure (i.e. adding if necessary boundary cells of the  $\hat{\sigma}_p$ ) is a closed fundamental domain D for the G-action in Y. The  $Y_j$  form an increasing sequence of finite subcomplexes of Y with union Y; each  $Y_j$  is a union of  $N_j$  distinct translates  $x_\nu D$ ,  $\nu = 1, 2, ..., N_j$ ,  $x_\nu \in G$ , of D. Let further  $Y_j$  be the topological boundary of  $Y_j$  and  $N_j$  the number of translates of D which meet  $Y_j$ . From the combinatorial Følner criterion [F] for amenability it follows easily that the sequence  $Y_j$  can be chosen such that  $N_j/N_j \longrightarrow 0$  for  $j \longrightarrow \infty$ .

# 2. $\ell_2$ -chains, restricted trace

- **2.1.** The cellular p-chains of Y with  $\mathbb{R}$ —coefficients constitute a free  $\mathbb{R}G$ —module  $C_p(Y)$ ; as basis we can take the lifts (see **1.2**)  $\hat{\sigma}_p^i$  of the p-cells  $\sigma_p^i$  of X,  $i=1,2,...,\alpha_p$ , where  $\alpha_p$  is the number of p-cells of X. Each p-cell of Y can be uniquely written as  $x\hat{\sigma}_p^i$ ,  $x\in G, i=1,...,\alpha_p$ , and in each orbit the G-action is by left translation.
- **2.2.** As Y is an infinite complex, one considers besides the ordinary p-chains also  $\ell_2$ -chains, i.e. square-summable real linear combinations of the cells of Y. They constitute a Hilbert space  $C_p^{(2)}(Y)$  where all the cells  $x\hat{\sigma}_p^i$  as above form an orthonormal basis. We sometimes omit Y and simply write  $C_p^{(2)}$ . The induced action of G on  $C_p^{(2)}$  is isometric.
- **2.3.** For any Hilbert subspace H of  $C_p^{(2)}$ , not necessarily G-invariant, there is the orthogonal projection

$$\Phi: C_p^{(2)} \longrightarrow C_p^{(2)}$$

with image H. We consider the following "restricted trace" of  $\Phi$  referring to a finite subcomplex  $Y_j$  of Y consisting of  $N_j$  translates of the fundamental domain D. Here amenability is not required; it is in **3.4** only that  $Y_j$  will refer to a Følner sequence in Y.

Let  $\Pi_j$  be the projection  $C_p^{(2)} \longrightarrow C_p^{(2)}$  with image  $C_p^{(2)}(Y_j)$ . Since  $Y_j$  is a finite complex, we have  $C_p^{(2)}(Y_j) = C_p(Y_j)$ ; thus  $\Pi_j$  is projection on a finite dimensional  $\mathbb{R}$ -subspace of  $C_p^{(2)}$  whose basis consists of all cells  $x_{\nu}\hat{\sigma}_p^i$  with  $\nu \leq N_j$ . One can form the  $\mathbb{R}$ -trace

$$d_j(H) = trace_{\mathbb{R}} \Pi_j \Phi$$

It will be examined for some special subspaces H. Note that it can be expressed

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by scalar products in  $C_p^{(2)}$  as

$$d_j(H) = \sum_{i=1}^{\alpha_p} \sum_{\nu=1}^{N_j} < \Phi(x_{\nu} \hat{\sigma}_p^i), x_{\nu} \hat{\sigma}_p^i > + \sum_{\tau_p} < \Phi(\tau_p), \tau_p > .$$

where the  $\tau_p$  are cells in  $\dot{Y}_j$  not of the form  $x_{\nu}\hat{\sigma}_p^i$ .

#### **2.4.** Properties of $d_i$ :

- 1) Since  $\Phi$  is idempotent and self-adjoint, the scalar products above are equal to  $\langle \Phi(x_{\nu}\hat{\sigma}_{p}^{i}), \Phi(x_{\nu}\hat{\sigma}_{p}^{i}) \rangle$  and  $\langle \Phi(\tau_{p}), \Phi(\tau_{p}) \rangle$  respectively and thus  $\geq 0$ : The restricted trace  $d_{j}(H)$  is non-negative.
  - 2) Note that one always has

$$d_i(H) \leq \dim_{\mathbb{R}} \Pi_i(H)$$

since

$$\operatorname{tr}_{\mathbb{R}}(\Pi_{i}\Phi) \leq ||\Pi_{i}\Phi|| \dim_{\mathbb{R}} \operatorname{im}(\Pi_{i}\Phi) \leq \dim_{\mathbb{R}} \Pi_{i}(H).$$

If in particular H is a subspace of  $C_p(Y_j)$  then  $d_j$  is the same as the trace of the projection of  $C_p(Y_j)$  to H. Since these are finite-dimensional vector spaces, the trace is  $= \dim_{\mathbb{R}} H$ .

- 3) If H decomposes orthogonally into  $H_1 + H_2$  then  $d_j(H) = d_j(H_1) + d_j(H_2)$ . Just note that then  $\Phi = \phi_1 + \phi_2$  where  $\phi_i$  is the projection onto  $H_i$ , i = 1, 2 and replace  $\Phi$  in the scalar products above.
- 4) In case H is G-invariant the projection  $\Phi$  is G-equivariant and  $\langle \Phi(x_{\nu}\hat{\sigma}_{p}^{i}), x_{\nu}\hat{\sigma}_{p}^{i} \rangle$  is equal to  $\langle \Phi(\hat{\sigma}_{p}^{i}), \hat{\sigma}_{p}^{i} \rangle$ . But  $\Sigma_{i=1}^{\alpha_{p}} \langle \Phi(\hat{\sigma}_{p}^{i}), \hat{\sigma}_{p}^{i} \rangle$  is just the von Neumann dimension  $\dim_{G} H$  (see e.g. [L] or [E2]). Thus in that case

$$d_j(H) = N_j \dim_G H$$

plus an "error term"  $T_j$  coming from the boundary cells  $\tau_p$  which is  $\leq \dim_{\mathbb{R}} C_p(\dot{Y}_j)$ .

# 3. Mapping $H_p(Y_i)$ into $H_p(Y)$

- **3.1.** In the following, homology  $H_p$  is to be understood as "reduced"  $\ell_2$ -homology (cycles modulo the closure of boundaries). It can be represented by the orthogonal complement of the space of boundaries in the p-cycle space, i.e. by harmonic chains (boundary  $\partial=0$  and coboundary  $\delta=0$ ). In this sense we will consider  $H_p(Y)$  as a Hilbert subspace of  $C_p^{(2)}(Y)$  and  $H_p(Y_j)$  as a subspace of  $C_p(Y_j)$ .
- **3.2.** Since the boundary operator  $\partial$  in  $C_p^{(2)}$  commutes with the G-action, the homology group  $H_p(Y)$  considered as a subspace of  $C_p^{(2)}$  is G-invariant. According to **2.4**, 4) we have

$$d_j(H_p(Y)) = N_j \; \mathrm{dim}_G H_p(Y) + T_j = N_j \; \overline{\beta}_p(Y\mathrm{rel}.G) + T_j,$$

where  $\overline{\beta}_p$  denotes the  $\ell_2$ -Betti number and  $T_j$  is the error term from **2.4**,4). As for  $H_p(Y_j)$ , we have by **2.4**, 2)

$$d_j(H_p(Y_j)) = \dim_{\mathbb{R}} H_p(Y_j) = \beta_p(Y_j),$$

the ordinary p-th Betti number of  $Y_i$ .

**3.3.** The inclusion of  $Y_j$  in Y induces a bounded linear map  $\phi: H_p(Y_j) \longrightarrow H_p(Y)$ . Let  $K_p$  be the kernel of  $\phi$ , and  $K'_p$  its orthogonal complement in  $H_p(Y_j)$ ; and  $I_p$  the image of  $\phi$ , and  $I'_p$  its orthogonal complement in  $H_p(Y)$ .

We will look closer at these harmonic subspaces of  $C_p(Y_j)$  and  $C_p^{(2)}(Y)$  respectively in order to get estimates for the values of  $d_j$ . We recall that  $\partial$  commutes with the inclusion of  $Y_j$  in Y but in general not with the the restriction of Y to  $Y_j$ , and that for  $\delta$  things are the other way around. In particular a harmonic chain in  $Y_j$  need not be harmonic in Y, but can be made harmonic by adding a well-defined element of the closure of boundaries.

- **3.4.** We decompose the p-chains  $c \in C_p^{(2)}$  as  $c = \dot{c} + c'$  where all p-cells of  $\dot{c}$  intersect the topological boundary  $\dot{Y}_j$  and c' does not contain any such cell. This yields an orthogonal decomposition of  $C_p^{(2)}$  into  $\dot{C}_p$  and  $C_p'$ . We now use the amenability of the covering and assume that  $Y_j$  is a term of the Følner sequence. Then  $\dim_{\mathbb{R}} \dot{C}_p \leq \dot{N}_j \alpha_p$ .
- 1) If  $c \in K_p$ , with  $\partial c = \delta c = 0$  in  $Y_j$ , then  $c \in \overline{\partial C_{p+1}^{(2)}(Y)}$ . If we assume  $\dot{c} = 0$ ,  $c = c' \in C'_p$ , then  $\delta$  commutes with the inclusion, i.e.  $\delta c = 0$  in Y. But since cocycles are orthogonal to the closure of the space of boundaries, it follows that c = 0. Thus  $K_p \cap C'_p = 0$ , and  $K_p$  is isomorphic to a subspace of  $C_p$ . Therefore

$$d_j(K_p) = \dim_{\mathbb{R}} K_p \le \dim_{\mathbb{R}} \dot{C}_p \le \dot{N}_j \alpha_p$$
.

2) As for  $d_j(I_p')$  it does not exceed  $\dim_{\mathbb{R}} R_p$  where  $R_p = \operatorname{res}_j I_p'$  and  $\operatorname{res}_j$  is the restriction from Y to  $Y_j$ . The chains  $c \in I_p'$  fulfill  $\partial c = \underline{\delta c} = 0$ . Moreover  $\langle c, z \rangle = 0$  for all p-cycles z in  $Y_j$  since  $\phi(z) = z + b$ , with  $b \in \overline{\partial C_{p+1}^{(2)}}$ . For  $r \in R_p$  the same holds except possibly for  $\partial r = 0$ . But if  $r = \dot{c} + c'$  as above, and if we assume  $\dot{c} = 0$  then  $\partial r = 0$ . From  $\langle r, z \rangle = 0$  for all p-cycles z in  $Y_j$  it follows that r is a coboundary in  $Y_j$ ,  $r = \delta s$ . Thus  $\langle r, r \rangle = \langle r, \delta s \rangle = \langle \partial r, s \rangle = 0$ , whence r = 0 and  $R_p \cap C_p' = 0$ . As before this implies  $\dim_{\mathbb{R}} R_p \leq \dot{N}_j \alpha_p$  and we get

$$d_j(I_p') \le \dim_{\mathbb{R}} R_p \le \dot{N}_j \alpha_p$$
.

**3.5.**  $K'_p$  is isomorphic as a (finite-dimensional) vector space to  $I_p$ . Their  $d_j$  need not be equal, but we show that their difference fulfills an inequality similar to

those above. The isomorphism is given by adding to each  $c \in K_p'$  a well defined element  $b(c) \in \overline{\partial C_{p+1}^{(2)}(Y)}$ , in order to get a harmonic chain in Y. If, in particular,  $c \in K_p' \cap C_p'$  then  $\delta c = 0$  in Y, whence  $c \in I_p$ . Thus  $K_p' \cap C_p'$  is a subspace of  $I_p$  which remains unchanged under  $\Pi_j$ . This implies that  $d_j(I_p) \geq d_j(K_p' \cap C_p') = \dim_{\mathbb{R}} K_p' \cap C_p'$  and

$$\dim_{\mathbb{R}} K_p' - d_j(I_p) \le \dim_{\mathbb{R}} K_p'/K_p' \cap C_p'.$$

But  $K_p'/K_p' \cap C_p'$  is isomorphic to  $(K_p' + C_p')/C_p'$  which is contained in  $C_p^{(2)}/C_p'$  isomorphic to  $\dot{C}_p$ . Thus its dimension is  $\leq \dot{N}_j \alpha_p$  whence

$$d_j(K_p') - d_j(I_p) \le \dot{N}_j \alpha_p$$
.

**3.6.** Finally we have

$$\beta_p(Y_j) - N_j \overline{\beta_p}(Yrel.G) = d_j(H_p(Y_j)) - d_j(H_p(Y)) + T_j$$
$$= d_j(K_p) - d_j(I_p') + (d_j(K_p') - d_j(I_p)) + T_j$$

where  $T_i$  is the error term in **2.4**. By **3.4** and **3.5** and since  $T_i \leq \dot{N}_i \alpha_p$  this yields

$$\left|\frac{1}{N_j}\beta_p(Y_j) - \overline{\beta_p}(Y \text{rel.}G)\right| \le 4\alpha_p \frac{\dot{N}_j}{N_j}$$

which goes to 0 with  $j \to \infty$ . Thus

$$\lim_{j\to\infty} \frac{1}{N_j} \beta_p(Y_j) = \overline{\beta_p}(Y_{rel}.G).$$

This is the approximation statement mentioned in the introduction.

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