

Zeitschrift: L'Enseignement Mathématique
Herausgeber: Commission Internationale de l'Enseignement Mathématique
Band: 30 (1984)
Heft: 1-2: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: MULTIPLICATIVE INVARIANTS
Autor: Farkas, Daniel R.
Kapitel: §3. The Shephard-Todd-Chevalley Theorem
DOI: <https://doi.org/10.5169/seals-53825>

Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. [Siehe Rechtliche Hinweise.](#)

Conditions d'utilisation

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. [Voir Informations légales.](#)

Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. [See Legal notice.](#)

Download PDF: 14.03.2025

ETH-Bibliothek Zürich, E-Periodica, <https://www.e-periodica.ch>

$$k(\underline{A})_\lambda^G = (k[\underline{A}]^G)^{-1}(k[\underline{A}]_\lambda^G).$$

Recall that $G/C_G(D)$ is a finite group. Hence $\text{Hom}(G/C_G(D), k^*)$ is finite. Consequently, when $\text{Hom}(G, k^*)$ is infinite the proposition implies that $H^1(G, k(\underline{A})^*) \neq 1$. It is quite plausible (under the assumption $k^* \cap \underline{A} = 1$) that $H^1(G, k(\underline{A})^*)$ vanishes if and only if G is finite.

The extra bothersome assumption is vacuous in the case of group algebras. One can read off the following observation from Lemma 2'.

PROPOSITION 6. *Assume that $D = 1$. Then*

$$1 \rightarrow \text{Hom}(G, k^*) \times H^1(G, A) \rightarrow H^1(G, k(A)^*) \quad \text{is exact.} \quad \square$$

I have been unable to determine if the injection given by the proposition always splits. Here is one situation where it does.

PROPOSITION 7. *Suppose that A can be fully ordered so that G acts as a group of order automorphisms of A . Then the natural map*

$$H^1(G, k^* \cdot A) \rightarrow H^1(G, k(A)^*)$$

splits.

Proof. Let $V: k[A] \setminus \{0\} \rightarrow k^* \cdot A$ be the function which sends an element to its "lowest term" with respect to the ordering. The usual degree argument which shows that a polynomial ring is a domain, establishes that V is multiplicative. Since elements of G act monotonically, V is a map of (multiplicative) G -modules. It is not difficult to check that V extends to a multiplicative G -map from $k(A)^*$ to $k^* \cdot A$.

Obviously $k^* \cdot A \rightarrow k(A)^* \xrightarrow{V} k^* \cdot A$ provides the necessary splitting. \square

The hypothesis of Proposition 7 is very restrictive, even for an infinite cyclic group G . We leave the following long exercise to the reader. A matrix in $GL(n, \mathbf{Z})$ is order preserving for some ordering on \mathbf{Z}^n and only if each rational irreducible factor of its characteristic polynomial has a positive real root.

§ 3. THE SHEPHARD-TODD-CHEVALLEY THEOREM

Recall that a matrix in $GL(n, \mathbf{C})$ is a pseudo-reflection if it has finite order and 1 is an eigenvalue of multiplicity $n - 1$. The remaining eigenvalue for a pseudo-reflection must be a root of unity; when it is -1 we call

the matrix a reflection. Notice that every pseudo-reflection in $GL(n, \mathbf{Z})$ must be a reflection. A pseudo-reflection group (resp. reflection group) is a finite group generated by pseudo-reflections (resp. reflections). The classical result is the

SHEPHARD-TODD-CHEVALLEY THEOREM (cf. [11], Theorem 4.2.5). *Suppose that G is a finite group of automorphisms of $\mathbf{C}[X_1, \dots, X_n]$ which acts linearly. Then $\mathbf{C}[X_1, \dots, X_n]^G$ is a polynomial ring if and only if G is a pseudo-reflection group.*

The major theorem of this section is one direction of the STC Theorem for multiplicative actions. Namely,

THEOREM 8. *Suppose that $G \subset GL(n, \mathbf{Z})$ is a finite group of automorphisms of $A \simeq \mathbf{Z}^n$. If $\mathbf{C}[A]^G$ is a polynomial ring then G is a reflection group.*

This theorem is deduced from the STC Theorem via a connection between abelian group algebras and polynomial rings which goes back to the pioneers of infinite group theory. From now on A will be the free abelian group on n generators. Let V be the n -dimensional complex vector space $\mathbf{C} \otimes_{\mathbf{Z}} A$. If x is in A we shall write $\bar{x} = 1 \otimes x$ in V . The symmetric algebra on V will be denoted $\mathbf{C}[V]$. (We warn the reader of our primitive tendencies; $\mathbf{C}[V]$ is not the algebra of polynomial functions on V .) Both $\mathbf{C}[A]$ and $\mathbf{C}[V]$ have canonical augmentations. In the former case the augmentation ideal ω is the ideal generated by $\{x - 1 \mid x \in A\}$. In the latter, ω is the ideal generated by vectors in V . Let $\mathbf{C}[A]^\wedge$ and $\mathbf{C}[V]^\wedge$ be the respective ω -adic completions. The exponential function from A into $\mathbf{C}[V]^\wedge$ given by

$$\exp(x) = \sum_{j=0}^{\infty} (\bar{x}^j)/j!$$

is well-defined. It extends by linearity and then continuity to a \mathbf{C} -algebra map $E: \mathbf{C}[A]^\wedge \rightarrow \mathbf{C}[V]^\wedge$. In fact, E is an isomorphism. (The map back extends the logarithm.)

The effect of this identification on automorphisms was first exploited in [1]. A matrix $g \in GL(A)$ induces an automorphism γ on $\mathbf{C}[A]^\wedge$. What is the automorphism after "translating" by E ? The following calculation of $E\gamma E^{-1}$ on x can be checked in detail on the matrix level:

$$\begin{aligned} (E\gamma E^{-1})(\bar{x}) &= E\gamma E^{-1}(\log E(x)) = E(\log^g x) \\ &= E(g(\log x)) = g(\log E(x)) = g(\bar{x}). \end{aligned}$$

LINEARIZATION THEOREM. Let G be a group of automorphisms of A , regarded in $GL(n, \mathbf{Z})$. Exponentiation extends to an algebra isomorphism $E: \mathbf{C}[A]^\wedge \rightarrow \mathbf{C}[V]^\wedge$. Moreover, the multiplicative action of G (extended by continuity) on $\mathbf{C}[A]^\wedge$ induces an action on $\mathbf{C}[V]^\wedge$ which is the extension (by continuity) of the linear action of G on $\mathbf{C}[V]$. \square

With this tool in hand, the proof of Theorem 8 amounts to carefully keeping track of a myriad of completions and then getting rid of them. The calculations are somewhat clearer in the abstract. So let S be a \mathbf{C} -algebra and let G be a finite group of automorphisms of S . The averaging or Reynolds operator which sends S to the fixed ring S^G is given by

$$\text{av}(c) = \frac{1}{|G|} \sum_{g \in G} {}^g c$$

The function av is an idempotent S^G -module map.

LEMMA 9. Suppose that S is a commutative noetherian \mathbf{C} -algebra and I is a G -stable maximal ideal. Then there is a positive integer f such that

$$I^{ft} \cap S^G \subset (I \cap S^G)^t \subset I^t \cap S^G \quad \text{for } t = 1, 2, \dots$$

Proof. The second inclusion is obvious. Set $J = I \cap S^G$. We first prove that I is the only prime ideal lying over JS .

Indeed, suppose P is a prime ideal of S containing J . If $a \in I$ then $\prod_{g \in G} {}^g a \in I \cap S^G \subset P$. By primality there is some $g \in G$ with $a \in {}^g P \cap I$. Consequently, $I = \cup_{g \in G} ({}^g P \cap I)$, a union of complex subspaces. At least one of these subspaces is not proper: there is an $h \in G$ such that $I = {}^h P \cap I$. Therefore $I = {}^{h^{-1}} I \subset P$. Maximality implies $I = P$, as required.

The prime radical of S/JS is the image of I . But the prime radical is nil and nil ideals in a noetherian ring are nilpotent. Hence there is a positive integer f such that $I^f \subset JS$.

We have established, so far, that $I^{ft} \subset J^t S$ for all t . Intersect each side of the inclusion S^G and apply the averaging operator.

$$I^{ft} \cap S^G = \text{av}(I^{ft} \cap S^G) = \text{av}(J^t S \cap S^G) \subset \text{av}(J^t S) = J^t \text{av}(S)$$

We have obtained the necessary inclusion:

$$I^{ft} \cap S^G \subset J^t = (I \cap S^G)^t. \quad \square$$

LEMMA 10. Suppose that S has a filtration $S = S_0 \supset S_1 \supset S_2 \supset \dots$ such that each S_j is G -stable and $\bigcap S_j = 0$. Then $(S^\wedge)^G = (S^G)^\wedge$. (Here

S^\wedge denotes the completion of S with respect to the given filtration and $(S^G)^\wedge$ means the completion of S^G for the "relative" filtration $S_j \cap S^G$.)

Proof. There is an obvious injection $(S^G)^\wedge \rightarrow S^\wedge$, where the topology on $(S^G)^\wedge$ coincides with the relative topology on its image. Notice that the action of G on S extends continuously to an action on S^\wedge : if $a_m \rightarrow a$ then ${}^g a_m \rightarrow {}^g a$. It follows that $(S^G)^\wedge \subset (S^\wedge)^G$.

Suppose $b \in (S^\wedge)^G$. Choose a sequence $b_m \in S$ such that $b_m \rightarrow b$. Then $\text{av}(b_m) \rightarrow \text{av}(b)$ and $\text{av}(b) = b$. Hence $b \in (S^G)^\wedge$. \square

LEMMA 11. *Suppose that k is a field and $\Phi: k[T_1, \dots, T_n] \rightarrow k$ is a k -algebra homomorphism. Then there is a change of variables,*

$$k[T_1, \dots, T_n] = k[T'_1, \dots, T'_n],$$

so that $\ker \Phi = (T'_1, \dots, T'_n)$.

Proof. Consider the automorphism induced by sending each T_j to $T'_j = T_j - \Phi(T_j)$. \square

The next lemma is undoubtedly routine for the expert in commutative algebra. Rather than interrupt the flow of the narrative, we will state it now and then relegate a sketchy proof to the appendix.

DECOMPLETION LEMMA. *Let k be a field and suppose $R = R_{(0)} \oplus R_{(1)} \oplus \dots$ is a graded k -algebra with $R_{(0)} = k$. If \hat{R} (its completion with respect to the grade filtration) is algebra isomorphic to a power series ring $k[[T_1, \dots, T_n]]$ then R is isomorphic to a polynomial ring in n homogeneous variables.*

Proof of Theorem 8 that if $\mathbf{C}[A]^G$ is a polynomial ring then G is a reflection group: According to Lemma 10, $(\mathbf{C}[A]^\wedge)^G = (\mathbf{C}[A]^G)^\wedge$. Here $(\mathbf{C}[A]^G)^\wedge$ is the completion of $\mathbf{C}[A]^G$ with respect to the filtration $\omega^t \cap \mathbf{C}[A]^G$. A straightforward Cauchy sequence argument in conjunction with Lemma 9 shows that $(\mathbf{C}[A]^G)^\wedge$ is also the $(\omega \cap \mathbf{C}[A]^G)$ -adic completion. Now $\mathbf{C}[A]^G$ is a polynomial ring in $n = \text{rank } A$ variables and $\omega \cap \mathbf{C}[A]^G$ is a codimension one ideal. By Lemma 11, the $(\omega \cap \mathbf{C}[A]^G)$ -adic completion of $\mathbf{C}[A]^G$ is isomorphic to the power series ring $\mathbf{C}[[T_1, \dots, T_n]]$.

In summary, $(\mathbf{C}[A]^\wedge)^G \simeq \mathbf{C}[[T_1, \dots, T_n]]$. Next, apply the isomorphism E and use Lemma 10 for the symmetric algebra. We find that $(\mathbf{C}[V]^G)^\wedge \simeq \mathbf{C}[[T_1, \dots, T_n]]$. This time, $\mathbf{C}[V]^G$ is a *graded* algebra under the grading inherited from $\mathbf{C}[V]$ and its completion is with respect to the grade filtration.

We are in the situation of the Decompletion Lemma for $\mathbf{C}[V]^G = R$. Thus $\mathbf{C}[V]^G$ is a polynomial ring in n homogeneous variables. Our theorem now follows from the STC Theorem. \square

It is possible to object to the appropriateness of proving a theorem which determines when the invariants for a group algebra comprise a polynomial algebra. After all, the most well-behaved group is the group of order one and its fixed ring is the group algebra we began with. Let's say that a \mathbf{C} -algebra is an *extended polynomial ring* if it contains algebraically independent elements $U_1, \dots, U_m, T_1, \dots, T_n$ such that the algebra is isomorphic to $\mathbf{C}[U_1, U_1^{-1}, \dots, U_m, U_m^{-1}, T_1, \dots, T_n]$. Equivalently, an extended polynomial ring has the form $\mathbf{C}[U] \otimes_{\mathbf{C}} \mathbf{C}[T_1, \dots, T_n]$ where U is a finitely generated free abelian group. Once the generators U_i and T_j are distinguished, its augmentation ideal ω is the ideal generated by $U_1 - 1, \dots, U_m - 1, T_1, \dots, T_n$.

The theorem we have proved can be adapted to prove the "correct" result.

THEOREM 8⁺. *Suppose G is a finite group acting faithfully and multiplicatively on $\mathbf{C}[A]$. If $\mathbf{C}[A]^G$ is an extended polynomial ring then G is a reflection group.*

Proof. We follow the argument a few lines up. It is still true that $(\mathbf{C}[A]^\wedge)^G$ is the $(\omega \cap \mathbf{C}[A]^G)$ -adic completion of $\mathbf{C}[A]^G$. This time $\omega \cap \mathbf{C}[A]^G$ is a codimension one ideal in the extended polynomial ring $\mathbf{C}[A]^G$. We need Lemma 11⁺: if

$$\Phi: k[U_1^{\pm 1}, \dots, U_m^{\pm 1}, T_1, \dots, T_n] \rightarrow k$$

is an algebra homomorphism then there is a change of variables so that $\ker \Phi$ becomes the augmentation ideal. (Indeed, define $U'_j = \Phi(U_j)^{-1}U_j$ and $T'_j = T_j - \Phi(T_j)$.)

What is the completion of an extended polynomial ring with respect to powers of its augmentation ideal? Topological abstract nonsense shows that it coincides with $\mathbf{C}[U]^\wedge[[T_1, \dots, T_n]]$ where $\mathbf{C}[U]^\wedge$ is the completion of the group algebra with respect to the $(U_1 - 1, \dots, U_m - 1)$ -adic topology. But the linearizing E -isomorphism exhibits $\mathbf{C}[U]^\wedge$ as a power series ring in $\text{rank } U$ variables. In summary, the augmentation-adic completion of an extended polynomial ring is also a power series ring.

From here on, the previous argument can be carried over verbatim. \square

It is much more difficult to decide when $\mathbf{C}(A)^G$ is a rational function field. The little that is known is surveyed in [7].