

Zeitschrift: L'Enseignement Mathématique
Herausgeber: Commission Internationale de l'Enseignement Mathématique
Band: 36 (1990)
Heft: 1-2: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: THE DISTANCE BETWEEN IDEALS IN THE ORDERS OF A REAL QUADRATIC FIELD
Autor: Kaplan, Pierre / Williams, Kenneth S.
Kapitel: 2. Basic definitions
DOI: <https://doi.org/10.5169/seals-57912>

Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. [Siehe Rechtliche Hinweise.](#)

Conditions d'utilisation

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. [Voir Informations légales.](#)

Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. [See Legal notice.](#)

Download PDF: 08.03.2025

ETH-Bibliothek Zürich, E-Periodica, <https://www.e-periodica.ch>

Throughout this paper, if A is a unitary commutative ring, and $\alpha_1, \alpha_2, \dots, \alpha_m$ are elements of A , the \mathbb{Z} -module generated by $\alpha_1, \alpha_2, \dots, \alpha_m$ is denoted by $[\alpha_1, \alpha_2, \dots, \alpha_m]$ and the A -module (ideal) generated by $\alpha_1, \alpha_2, \dots, \alpha_m$ by $(\alpha_1, \alpha_2, \dots, \alpha_m)$. The product of the ideals $(\alpha_1, \dots, \alpha_m)$ and $(\alpha'_1, \dots, \alpha'_n)$ is the ideal $(\alpha_1 \alpha'_1, \dots, \alpha_i \alpha'_j, \dots, \alpha_m \alpha'_r)$. If I is an ideal, we often write the product ideal $(\alpha)I$ as αI .

2. BASIC DEFINITIONS

Let K be a quadratic field of discriminant D_0 . As D_0 is a discriminant we have $D_0 \equiv 0 \pmod{4}$ or $D_0 \equiv 1 \pmod{4}$. In §2 and §3 K may be real ($D_0 > 0$) or imaginary ($D_0 < 0$) but in the remaining sections K will be assumed to be real. An element α of K can be written $\alpha = x + y\sqrt{D_0}$, where x and y are rational numbers. The conjugate of α is the element $\bar{\alpha} = x - y\sqrt{D_0}$ of K . The norm of α is the rational number $N(\alpha) = \alpha\bar{\alpha} = x^2 - D_0y^2$. We define the integer ω_0 of K by

$$(2.1) \quad \omega_0 = \begin{cases} \frac{\sqrt{D_0}}{2}, & \text{if } D_0 \equiv 0 \pmod{4}, \\ \frac{1}{2}(1 + \sqrt{D_0}), & \text{if } D_0 \equiv 1 \pmod{4}. \end{cases}$$

The ring of integers of K is $O_{D_0} = [1, \omega_0]$. For a positive integer f , we set

$$(2.2) \quad D = D_0f^2, \omega = \begin{cases} \frac{\sqrt{D}}{2}, & \text{if } D \equiv 0 \pmod{4} \\ \frac{1}{2}(1 + \sqrt{D}), & \text{if } D \equiv 1 \pmod{4}. \end{cases}$$

and

$$(2.3) \quad O_D = [1, \omega] = [1, f\omega_0].$$

It is easy to check that O_D is the subring of index f in O_{D_0} , called the order of discriminant D . We note that

$$(2.4) \quad \omega^2 = \begin{cases} \frac{D}{4}, & \text{if } D \equiv 0 \pmod{4}, \\ \omega + \frac{(D-1)}{4}, & \text{if } D \equiv 1 \pmod{4}. \end{cases}$$

The multiplicative group of K is denoted by K^* .

Next we describe the ideals of the order O_D . Throughout this paper all ideals will be nonzero.

PROPOSITION 1. ([10]: Theorem 5.6, [12]: Theorem 3.2) (i) *The (nonzero) ideals of the order O_D are the Z -modules*

$$I = d \left[a, \frac{b + \sqrt{D}}{2} \right],$$

where

$$(2.5) \quad c = \frac{D - b^2}{4a}$$

is an integer.

(ii) *Two ideals $I = d \left[a, \frac{b + \sqrt{D}}{2} \right]$ and $I' = d' \left[a', \frac{b' + \sqrt{D}}{2} \right]$ are equal if, and only if, $|d| = |d'|, |a| = |a'|, b \equiv b' \pmod{2a}$.*

Proof. (i) Let I be a (nonzero) ideal of O_D . The set $I \cap Z$ is a (nonzero) ideal (a_0) of Z . The set $\{y \in Z: x + y\omega \in I \text{ for some } x \in Z\}$ is also an ideal (d) of Z , and, as $a_0\omega \in I$, we see that $d|a_0$, say $a_0 = da$. Let $\alpha_0 \in I$ be such that $\alpha_0 = b_0 + d\omega$. Appealing to (2.4), we see that

$$\omega\alpha_0 = \omega(b_0 + d\omega) = \begin{cases} \frac{dD}{4} + b_0\omega, & \text{if } D \equiv 0 \pmod{4}, \\ d \left(\frac{D-1}{4} \right) + (d + b_0)\omega, & \text{if } D \equiv 1 \pmod{4}, \end{cases}$$

so that $d|b_0$, say $b_0 = db_1$. Thus we have $\alpha_0 = d(b_1 + \omega)$, which shows that $I \supseteq d[a, b_1 + \omega]$. Now let $\beta = x + dy\omega \in I$. As $\beta - \alpha_0 y = x - b_0 y \in I \cap Z$, there exists $k \in Z$ such that $\beta = ka_0 + \alpha_0 y$, which shows that $I \subseteq [a_0, \alpha_0] = d[a, b_1 + \omega]$. Hence we have $I = d[a, b_1 + \omega]$. As $dN(b_1 + \omega) = d(b_1 + \omega)(b_1 + \bar{\omega}) \in I \cap Z = (da)$, we see that a divides $N(b_1 + \omega)$.

Now let $I = d[a, b_1 + \omega]$, where $c = -N(b_1 + \omega)|a$ is an integer. We show that I is an ideal of O_D . It suffices to prove that ωa and $\omega(b_1 + \omega)$ belong to $[a, b_1 + \omega]$. This follows from

$$\omega a = (-b_1)a + a(b_1 + \omega)$$

and

$$\begin{aligned}\omega(b_1 + \omega) &= -(b_1 + \bar{\omega})(b_1 + \omega) + (b_1 + \omega + \bar{\omega})(b_1 + \omega) \\ &= ca + (b_1 + \omega + \bar{\omega})(b_1 + \omega).\end{aligned}$$

We have thus shown that the ideals of O_D are the Z -modules $d[a, b_1 + \omega]$, where $c = -N(b_1 + \omega)|a$ is an integer. Let b be the integer given by

$$b = \begin{cases} 2b_1, & \text{if } D \equiv 0 \pmod{3}, \\ 2b_1 + 1, & \text{if } D \equiv 1 \pmod{4}, \end{cases}$$

so that

$$b_1 + \omega = \frac{b + \sqrt{D}}{2}, \quad \frac{N(b_1 + \omega)}{a} = \frac{b^2 - D}{4a} = -c \in Z.$$

This completes the proof of Proposition 1 (i).

(ii) If $d \left[a, \frac{b + \sqrt{D}}{2} \right] = d' \left[a', \frac{b' + \sqrt{D}}{2} \right]$ we easily see that $d|d'$, $d'|d$, $ad|a'd'$ and $a'd'|ad$, from which Proposition 1 (ii) follows.

Example 1. (i) By Proposition 1 (i) the Z -module $A = \left[3, \frac{1 + \sqrt{45}}{2} \right]$ of O_{45} is not an ideal of O_{45} as $\frac{45 - 1}{12}$ is not an integer. Indeed A is not closed under multiplication by elements of O_{45} as $\frac{1 + \sqrt{45}}{2} \in A$ but

$$\left(\frac{1 - \sqrt{45}}{2} \right) \left(\frac{1 + \sqrt{45}}{2} \right) = -11 \notin A.$$

(ii) By Proposition 1 (i) the Z -module $B = \left[11, \frac{1 + \sqrt{45}}{2} \right]$ of O_{45} is an ideal of O_{45} as $\frac{45 - 1}{44}$ is an integer.

If $I = d \left[a, \frac{b + \sqrt{D}}{2} \right]$ is an ideal of O_D , by Proposition 1 (ii), we see that $GCD(a, b, c)$ does not depend upon the choice of a, b and d . This enables us to define the concept of a primitive ideal of O_D .

Definition 1. (Primitive ideal) The ideal $I = d \left[a, \frac{b + \sqrt{D}}{2} \right]$ of O_D is called *primitive* if, and only if,

$$d = \text{GCD}(a, b, c) = 1,$$

where c is defined by (2.5).

Our next result gives some basic properties of primitive ideals.

PROPOSITION 2. ([10]: Theorem 5.9) (i) If $I = \left[a, \frac{b + \sqrt{D}}{2} \right]$ is a primitive ideal of O_D then

$$I\bar{I} = (a),$$

where $\bar{I} = \left[a, \frac{b - \sqrt{D}}{2} \right]$ is the conjugate ideal of I .

(ii) If I is a primitive ideal of O_D and $\alpha \in K^*$ is such that $I = \alpha I$, then α is a unit of O_D .

(iii) If $I = \left[a, \frac{b + \sqrt{D}}{2} \right]$ and $J = \left[A, \frac{B + \sqrt{D}}{2} \right]$ are primitive ideals of O_D such that $\frac{1}{a}I = \frac{1}{A}J$ then $I = J$ and $|a| = |A|$.

Proof. (i) We have

$$I\bar{I} = a \left(a, \frac{b + \sqrt{D}}{2}, \frac{b - \sqrt{D}}{2}, c \right).$$

The ideal $\left(a, \frac{b + \sqrt{D}}{2}, \frac{b - \sqrt{D}}{2}, c \right)$ contains the ideal $(a, b, c) = (1)$, so that $I\bar{I} = (a)$.

(ii) As $\alpha \in K^*$, there exist $\beta \in O_D^*$ and $\gamma \in O_D^*$ such that $\alpha = \beta / \gamma$. Then, we have $\gamma I = \gamma \alpha I = \beta I$, and so, by (i), we obtain $(\gamma)(a) = \gamma I\bar{I} = \beta I\bar{I} = (\beta)(a)$, giving $(\beta) = (\gamma)$, so that $\alpha = \beta / \gamma$ is a unit of O_D .

(iii) We have $AI = aJ$ so that, by (ii), $a/A = \pm 1$ and $I = J$.

Next we define the notion of equivalent ideals.

Definition 2. (Equivalent ideals) Two ideals I and I' of O_D are said to be *equivalent* if there exists $\rho \in K^*$ such that $I' = \rho I$.

Example 2. The ideals

$$I = \left[7, \frac{12 + \sqrt{200}}{2} \right] = [7, 6 + \sqrt{50}] \quad \text{and} \quad J = \left[2, \frac{\sqrt{200}}{2} \right] = [2, \sqrt{50}]$$

of O_{200} are equivalent as

$$\begin{aligned} I &= [7, -8 + \sqrt{50}] \\ &= \left(\frac{-8 + \sqrt{50}}{2} \right) [-8 - \sqrt{50}, 2] \\ &= \left(\frac{-16 + \sqrt{200}}{4} \right) [2, \sqrt{50}] \\ &= \alpha J, \end{aligned}$$

where

$$\alpha = \frac{-16 + \sqrt{200}}{4} \in K^* .$$

It is clear that the notion of equivalence given in Definition 2 is an equivalence relation. The equivalence classes are called ideal classes. The ideal class of the ideal I is denoted by $C(I)$. If $I' \in C(I)$ and $J' \in C(J)$ then $I'J' \in C(IJ)$, and we can define multiplication of ideal classes by $C(I)C(J) = C(IJ)$.

Definition 3. (Primitive class) An ideal class of O_D containing a primitive ideal is called a *primitive class*.

It follows from Proposition 2(i) that the primitive classes are invertible, and so form a group C_D with respect to multiplication.

Definition 4. (Ideal class group) The group C_D of primitive classes of the order O_D is called the *ideal class group* of O_D .

The unit class of the ideal class group is called the principal class and consists of all the principal primitive ideals of O_D . In fact C_D is a finite group.

Next we give a necessary and sufficient condition for two ideals I and I' of O_D to be equivalent, and, when I and I' are equivalent, a means of calculating ρ in the relationship $I' = \rho I$. It suffices to consider ideals of the form $\left[a, \frac{b + \sqrt{D}}{2} \right]$ that is with $d = 1$.

PROPOSITION 3. ([10]: Theorem 5.27) *Let*

$$I = \left[a, \frac{b + \sqrt{D}}{2} \right] \quad \text{and} \quad J = \left[A, \frac{B + \sqrt{D}}{2} \right]$$

be two ideals of O_D . *Set*

$$\phi = \frac{b + \sqrt{D}}{2a}, \quad \psi = \frac{B + \sqrt{D}}{2A}.$$

(i) *The ideals* I *and* J *are equivalent if, and only if, there exists a* 2×2 *integral matrix* $\begin{bmatrix} p & q \\ r & s \end{bmatrix}$ *of determinant* $\varepsilon = ps - qr = \pm 1$ *such that*

$$\psi = \frac{p\phi + q}{r\phi + s}.$$

(ii) *If* I *and* J *are equivalent the numbers* $\rho \in K^*$ *such that* $J = \rho I$ *are given by*

$$(2.6) \quad \rho = \frac{A}{a} \frac{1}{r\phi + s} = \varepsilon \frac{1}{r\bar{\phi} + s}$$

and satisfy

$$(2.7) \quad N(\rho) = \varepsilon \frac{A}{a}.$$

Proof. We have $J = \rho I$, that is $A[1, \psi] = \rho a[1, \phi]$, if, and only if, there exists an integral matrix $\begin{bmatrix} p & q \\ r & s \end{bmatrix}$ of determinant $\varepsilon = \pm 1$ such that

$$(2.8) \quad \begin{cases} A = r\rho a\phi + s\rho a, \\ A\psi = p\rho a\phi + q\rho a. \end{cases}$$

The equations (2.8) are equivalent to

$$\psi = \frac{p\phi + q}{r\phi + s}, \quad \rho = \frac{A}{a} \frac{1}{r\phi + s}.$$

This establishes (i) and the first equality of (2.6).

Taking conjugates in (2.8), we have

$$(2.9) \quad \begin{cases} A = r\bar{\rho}a\bar{\phi} + s\bar{\rho}a, \\ A\bar{\psi} = p\bar{\rho}a\bar{\phi} + q\bar{\rho}a, \end{cases}$$

so that (2.8) and (2.9) are equivalent to the matrix equality

$$\begin{bmatrix} A\psi & A \\ A\bar{\psi} & A \end{bmatrix} = \begin{bmatrix} a\phi\rho & a\rho \\ a\bar{\phi}\bar{\rho} & a\bar{\rho} \end{bmatrix} \begin{bmatrix} p & r \\ q & s \end{bmatrix}.$$

Taking determinants we obtain

$$A^2(\psi - \bar{\psi}) = \varepsilon\rho\bar{\rho}a^2(\phi - \bar{\phi}),$$

which gives, as $\psi - \bar{\psi} = \frac{\sqrt{D}}{A}$ and $\phi - \bar{\phi} = \frac{\sqrt{D}}{a}$, $\rho\bar{\rho} = \varepsilon \frac{A}{a}$, proving (2.7).

Then the first equality in (2.6) shows that $\bar{\rho} = \varepsilon(r\phi + s)$, establishing the second equality in (2.6).

COROLLARY 1. Let $I = \left[a, \frac{b + \sqrt{D}}{2} \right]$ be a primitive ideal of O_D , and set $\phi = \frac{b + \sqrt{D}}{2a}$. For $q \in Z$ define ϕ', b', a' and I' by

(2.10)

$$\phi = q + \frac{1}{\phi'}, \quad b' = -b + 2aq, \quad a' = \frac{D - b'^2}{4a}, \quad I' = \left[a', \frac{b' + \sqrt{D}}{2} \right].$$

Then

$$(2.11) \quad a' = \frac{D - b^2}{4a} + bq - aq^2 \in Z, \quad \phi' = \frac{b' + \sqrt{D}}{2a'},$$

and I' is a primitive ideal of O_D such that

$$(2.12) \quad I' = \frac{a'}{a} \phi' I = \frac{-1}{\bar{\phi}'} I.$$

Proof. The formulas in (2.11) for a' and ϕ' are easily proved by a straightforward calculation, and Proposition 3 with $p = 0$, $q = 1$, $r = 1$, $s = -q$ gives

$$I' = \frac{a'}{a} \frac{1}{\phi - q} I = -(\bar{\phi} - q)I,$$

which is equivalent to (2.12) as $\phi' = \frac{1}{\phi - q}$.

By Proposition 1 a primitive ideal I of O_D can be written in the form $I = a[1, \phi]$ ($\phi = (b + \sqrt{D})/2a$), where a is an integer uniquely determined up to sign by I and $a\phi$ is determined modulo a by I .

Definition 5. (Representation of a primitive ideal). Let I be a primitive ideal of O_D . A pair $\{a, b\}$ such that $I = a[1, \phi]$, where $\phi = (b + \sqrt{D})/2a$, is called a *representation* of I .

Definition 6. (q -neighbour). When the representation $\{a, b\}$ of the ideal I and the representation $\{a', b'\}$ of the ideal I' are related as in (2.10), we say that $\{a', b'\}$ is q -neighbour to $\{a, b\}$.

Definition 7. (Lagrange neighbour). When $D > 0$ and $\{a', b'\}$ is q -neighbour to $\{a, b\}$ with $q = [\phi]$, we say that $\{a', b'\}$ is the *Lagrange neighbour* of $\{a, b\}$ and write $\{a, b\} \xrightarrow{L} \{a', b'\}$.

Definition 8. (Gauss neighbour). When $D > 0$ and $\{a', b'\}$ is q -neighbour to $\{a, b\}$ with $q = \frac{a}{|a|} \left[\frac{a}{|a|} \phi \right]$, we say that $\{a', b'\}$ is the *Gauss neighbour* of $\{a, b\}$ and write $\{a, b\} \xrightarrow{G} \{a', b'\}$.

Lagrange's reduction process using Lagrange neighbours is described in §5 and Gauss's reduction process using Gauss neighbours in §8.

COROLLARY 2. The ideals $I = \left[a, \frac{b + \sqrt{D}}{2} \right]$ and $J = \left[c, \frac{-b + \sqrt{D}}{2} \right]$, where c is given by (2.5), are equivalent and satisfy

$$J = \frac{(-b + \sqrt{D})}{2a} I.$$

Proof. We have $\psi = \frac{1}{\phi}$, where $\phi = \frac{b + \sqrt{D}}{2a}$ and $\psi = \frac{-b + \sqrt{D}}{2c}$, so that, by Proposition 3(ii), we have $J = \rho I$ with $\rho = (-1)\bar{\phi} = \frac{-b + \sqrt{D}}{2a}$.

COROLLARY 3. If $I = \left[a, \frac{b + \sqrt{D}}{2} \right]$ and $J = \left[A, \frac{B + \sqrt{D}}{2} \right]$ are two equivalent ideals of O_D with I primitive then J is also primitive.

Proof. Set $\phi = \frac{b + \sqrt{D}}{2a}$ and $\psi = \frac{B + \sqrt{D}}{2A}$. As I and J are equivalent,

by Proposition 3, we have $J = \rho I$, where $\psi = \frac{p\phi + q}{r\phi + s}$, $\rho = \frac{A}{a} \frac{1}{r\phi + s} = \varepsilon(r\bar{\phi} + s)$ and $\varepsilon = ps - qr = \pm 1$. Clearly we have

$$A = \varepsilon a(r\phi + s)(r\bar{\phi} + s) = \varepsilon(as^2 + bsr - cr^2),$$

$$\begin{aligned} B &= A(\psi + \bar{\psi}) = \varepsilon a(\psi + \bar{\psi})(r\phi + s)(r\bar{\phi} + s) \\ &= \varepsilon a((p\phi + q)(r\bar{\phi} + s) + (p\bar{\phi} + q)(r\phi + s)) \\ &= \varepsilon(2asq + b(sp + rq) - 2cpr), \end{aligned}$$

$$\begin{aligned} -C &= A\psi\bar{\psi} = \varepsilon a\psi\bar{\psi}(r\phi + s)(r\bar{\phi} + s) = \varepsilon a(p\phi + q)(p\bar{\phi} + q) \\ &= \varepsilon(aq^2 + bqp - cp^2). \end{aligned}$$

Thus A, B, C are integral linear combinations of a, b, c . Similarly, a, b, c are integral linear combinations of A, B, C . Hence $GCD(A, B, C) = GCD(a, b, c) = 1$ so that J is primitive.

3. THE HOMOMORPHISM θ

Let O_D and $O_{D'}$ be two orders of O_{D_0} with $O_{D'} \subset O_D$. Then we have $D' = Df^2$ for some positive integer f . This notation will be used throughout the rest of the paper. Our aim is to define a surjective homomorphism θ from the ideal class group $C_{D'}$ onto the ideal class group C_D . After proving three lemmas, we will prove the following theorem.

THEOREM 1. (i) *Every class C of $C_{D'}$ contains a primitive ideal I of the form $I = \left[a, \frac{fb + \sqrt{D'}}{2} \right]$, where $GCD(a, f) = 1$, such that the ideal $J = \left[a, \frac{b + \sqrt{D}}{2} \right]$ is a primitive ideal of O_D .*

(ii) *If $I = \left[a, \frac{fb + \sqrt{D'}}{2} \right]$ ($GCD(a, f) = 1$) and $I' = \left[a', \frac{fb' + \sqrt{D'}}{2} \right]$ ($GCD(a', f) = 1$) are two primitive ideals in the same class C of $C_{D'}$ with $I' = \rho I$ ($\rho \in K^*$), then the ideals*

$$J = \left[a, \frac{b + \sqrt{D}}{2} \right] \quad \text{and} \quad J' = \left[a', \frac{b' + \sqrt{D}}{2} \right]$$

of O_D satisfy $J' = \rho J$ and are in the same class $\theta(C)$ of C_D .