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Autor:	ECKMANN, Beno
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boundary yields a new 4-manifold where the element corresponding to  $r_1$  has been killed; and similarly for the other  $r_i$ . Let  $M_0$  be the 4-manifold thus obtained, fulfilling  $\pi_1(M_0) = G$ . The idea of that construction can already be found in the old book [S-T]. Much later the procedure, in a more general context, has been called "elementary surgery".

1.2. We recall that the (good old) Euler characteristic  $\chi(X)$  of a finite cell complex X is the alternating sum

$$\chi(X) = \sum (-1)^i \, \alpha_i \,,$$

where  $\alpha_i$  is the number of *i*-cells. It is easily computed for  $M_0$  above: For M' it is 2 - 2m since it is = 0 for  $S^1 \times S^3$  and since it decreases by 2 in a connected sum. Under the surgery process above it increases by 2 [use the fact that for the union of two complexes X and Y with intersection Z the characteristic is  $\chi(X) + \chi(Y) - \chi(Z)$ ; and that  $\chi(B^2 \times S^2) = 2$ ]. Whence

$$\chi(M_0) = 2 - 2m + 2n = 2 - 2(m - n)$$

The difference m - n is called the deficiency of the presentation of G.

1.3. On the other hand the characteristic can be expressed by the Betti numbers of the cell complex X as  $\sum (-1)^i \beta_i(X)$  where  $\beta_i(X) = \dim_{\mathbb{R}} H_i(X; \mathbb{R})$ (and is therefore a topological invariant). Moreover the  $\beta_i$  of a manifold fulfill Poincaré duality, i.e. they are equal in complementary dimensions. Thus  $\chi(M) = 2 - 2\beta_1(M) + \beta_2(M)$ . We recall that homology in dimension 1 depends on the fundamental group G only;  $\beta_1$  is the **Q**-rank of G Abelianised and we write  $\beta_1(G)$  for  $\beta_1(M)$ . Comparing with  $\chi(M_0)$  above we see that the deficiency of the presentation is  $\leq \beta_1(G)$ . Thus there is a maximum for the deficiency of all presentations of G, called the deficiency def(G) of G. [For this simple side result there are, of course, much easier arguments.]

# 2. THE HAUSMANN-WEINBERGER INVARIANT

2.1. As seen above, the Euler characteristic of a 4-manifold M with given finitely presented fundamental group G is bounded below by  $2 - 2\beta_1(G)$ . The minimum of  $\chi(M)$  for all such M has been considered by Hausmann-Weinberger [H-W] and denoted by q(G). Using  $M_0$  above we have the inequalities

 $2 - 2\beta_1(G) \le q(G) \le 2 - 2 \operatorname{def}(G)$ .

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# 2.2. EXAMPLES.

1) In [H-W] it is shown, by a simple argument, that  $q(\mathbf{Z}^n) \ge 0$  for all  $n \ge 1$ . We return to that case later on. Here we just recall that  $q(\mathbf{Z}) = q(\mathbf{Z}^2) = q(\mathbf{Z}^4) = 0$ , as is easily seen by taking an appropriate M with  $\chi(M) = 0$ . However for  $\mathbf{Z}^3$  one only gets  $0 \le q \le 2$ , the deficiency being 0.

2) For the surface group  $\Sigma_g$ ,  $g \ge 2$ , i.e. the fundamental group of the closed orientable surface of genus g, one has  $def(\Sigma_g) = 2g - 1$  and  $\beta_1 = 2g$ . Thus

$$2-4g \le q(\Sigma_g) \le 4-4g$$

3) For any knot group G (the fundamental group of the complement of a classical knot in  $S^3$ ) the deficiency is 1 and  $\beta_1 = 1$  whence q(G) = 0.

4) Let G be a 2-knot-group, i.e. the fundamental group of the complement of two-dimensional knot  $S^2$  in  $S^4$ . As for classical knots  $\beta_1(G) = 1$ . Surgery along the imbedded sphere  $S^2$  produces a 4-manifold M with fundamental group G, and with  $\beta_2(M) = 0$ , whence  $\chi M = 0$ . Thus again q(G) = 0.

2.3. There is a topological ingredient available in 4-manifolds which has not been used, namely the signature. This has suggested a more refined group invariant associated with 4-manifolds, see the next section.

# 3. The $(\chi + \sigma)$ -invariant

3.1. We recall that the cohomology group  $H^2(M; \mathbf{R})$  is a real quadratic space, the quadratic form being given by the cup-product evaluated on the fundamental cycle of M. It is non-degenerate, and the space splits into a positive-definite and a negative-definite subspace of dimensions  $\beta_2^+$  and  $\beta_2^$ respectively. The difference  $\beta_2^+ - \beta_2^- = \sigma(M)$  is the signature of M. Its sign clearly depends on the orientation of M and we assume the orientation chosen in such a way that  $\sigma(M) \leq 0$ , i.e.,  $\beta_2^+ \leq \beta_2^-$ . Since  $\beta_2 = \beta_2^+ + \beta_2^-$  the sum  $\chi(M) + \sigma(M)$  is equal to  $2 - 2\beta_1(G) + 2\beta_2^+(M)$ , where as always  $G = \pi_1(M)$ . Since that sum is bounded below by  $2 - 2\beta_1(G)$  depending on G only one can define an invariant p(G) to be the minimum of  $\chi(M) + \sigma(M)$  for all M with fundamental group G and oriented in such a way that  $\sigma(M) \leq 0$ . Obviously  $p(G) \leq q(G)$ . An equivalent way to define p(G) is to take, independently of orientations, the minimum of  $\chi(M) - |\sigma(M)|$ .

Putting together all above inequalities we get

 $2 - 2\beta_1(G) \le p(G) \le q(G) \le 2 - 2 \operatorname{def}(G)$ .